Quantitative covariates and regression analysis

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Outline

- Correlation analysis
- Simple linear regression
- Multiple linear regression
- ANOVA and ANCOVA

Resources for statistical assistance

Department of Statistics at UBC:
www.stat.ubc.ca/how-can-you-get-help-your-data

SOS Program - An hour of free consulting to UBC graduate students. Funded by the Provost and VP Research Office.

STAT 551 - Stat grad students taking this course offer free statistical advice. Fall semester every academic year.

Short Term Consulting Service - Advice from Stat grad students. Fee-for-service on small projects (less than 15 hours).

Hourly Projects - ASDa professional staff. Fee-for-service consulting.

Methods for predicting continuous outcomes

The language of statistics is not as standardized as you might like!

Different terms can be used for essentially the same model

Statisticians consider regression as the general approach

<table>
<thead>
<tr>
<th>Method</th>
<th>Type of predictor variable(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Two sample t-test</td>
<td>1 categorical, 2 levels</td>
</tr>
<tr>
<td>ANOVA</td>
<td>1 or more categorical, 2 or more levels</td>
</tr>
<tr>
<td>Regression</td>
<td>1 or more continuous</td>
</tr>
<tr>
<td>ANCOVA</td>
<td>1 or more categorical and continuous</td>
</tr>
</tbody>
</table>
Correlation

Measures the direction and strength of relationship between numeric variables

Data format

“Rectangular” data, fits in a rectangle where each row represents a sampled unit and each column is a characteristic observed on that unit

Example: Each row represents a different car model and the columns are various measured features

<table>
<thead>
<tr>
<th>model</th>
<th>mpg</th>
<th>cyl</th>
<th>wt</th>
<th>am</th>
<th>gear</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mazda RX4</td>
<td>21.0</td>
<td>6</td>
<td>2.620</td>
<td>1</td>
<td>4</td>
</tr>
<tr>
<td>Mazda RX4 Wag</td>
<td>21.0</td>
<td>6</td>
<td>2.875</td>
<td>1</td>
<td>4</td>
</tr>
<tr>
<td>Datsun 710</td>
<td>22.8</td>
<td>4</td>
<td>2.320</td>
<td>1</td>
<td>4</td>
</tr>
<tr>
<td>Hornet 4 Drive</td>
<td>21.4</td>
<td>6</td>
<td>3.215</td>
<td>0</td>
<td>3</td>
</tr>
<tr>
<td>Hornet Sportabout</td>
<td>18.7</td>
<td>8</td>
<td>3.440</td>
<td>0</td>
<td>3</td>
</tr>
<tr>
<td>Valiant</td>
<td>18.1</td>
<td>6</td>
<td>3.460</td>
<td>0</td>
<td>3</td>
</tr>
<tr>
<td>Duster 360</td>
<td>14.3</td>
<td>8</td>
<td>3.570</td>
<td>0</td>
<td>3</td>
</tr>
<tr>
<td>Merc 240D</td>
<td>24.4</td>
<td>4</td>
<td>3.190</td>
<td>0</td>
<td>4</td>
</tr>
<tr>
<td>Merc 230</td>
<td>22.8</td>
<td>4</td>
<td>3.150</td>
<td>0</td>
<td>4</td>
</tr>
<tr>
<td>Merc 280</td>
<td>19.2</td>
<td>6</td>
<td>3.440</td>
<td>0</td>
<td>4</td>
</tr>
</tbody>
</table>

Association between car weight and mileage

1974 Motor Trends car data (32 different models of cars)

Summary statistics from the data

<table>
<thead>
<tr>
<th></th>
<th>Mean</th>
<th>Variance</th>
<th>SD</th>
<th>Correlation</th>
</tr>
</thead>
<tbody>
<tr>
<td>mpg</td>
<td>20.091</td>
<td>36.324</td>
<td>6.027</td>
<td>-0.87</td>
</tr>
<tr>
<td>wt</td>
<td>3.217</td>
<td>0.957</td>
<td>0.978</td>
<td>-0.87</td>
</tr>
</tbody>
</table>

We can test the correlation between mpg and weight

<table>
<thead>
<tr>
<th></th>
<th>Estimate</th>
<th>t value</th>
<th>p value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Correlation</td>
<td>-0.868</td>
<td>-9.56</td>
<td>1.29e-10</td>
</tr>
</tbody>
</table>

95% confidence interval: -0.9338264 to -0.7440872

Squared Correlation = 0.753
Data (2 numeric variables)

From a random sample of \( n \) independent units from a population we measure 2 different variables (data).

Each variable has a mean and a variance and the two variables have a correlation.

Let’s call our variables \( X \) and \( Y \) \( \{X, Y\} = \{x_i, y_i\} \) for \( i = 1, \ldots, n \).

Correlation between \( x_i \) and \( x_j \) is 0.

Correlation between \( y_i \) and \( y_j \) is 0.

Correlation between \( x_i \) and \( y_i \) is \( \rho \).

Estimating the parameters from the sample

The population parameters:

- The means are denoted by \( \mu_x \) and \( \mu_y \).
- The variances are denoted by \( \sigma_x^2 \) and \( \sigma_y^2 \).
- The covariance of the two variables is denoted by \( \sigma_{xy} \).
- The correlation is \( \rho = \frac{\sigma_{xy}}{\sigma_x \sigma_y} \) is a number between -1 and 1.

Formulas for the sample estimates of the population parameters:

\[
\hat{\mu}_x = \bar{x} = \frac{\sum x_i}{n}
\]

\[
\hat{\sigma}_x^2 = s_x^2 = \frac{\sum (x_i - \bar{x})^2}{n-1}
\]

\[
\hat{\sigma}_{xy} = s_{xy} = \frac{\sum (x_i - \bar{x})(y_i - \bar{y})}{n-1}
\]

\[
\hat{\rho}_{xy} = r_{xy} = \frac{s_{xy}}{s_x s_y}
\]

Correlation analysis

By correlation we usually mean the Pearson product-moment correlation coefficient. It measures the linear relationship between \( X \) and \( Y \).

\( \rho \) is estimated by \( r = \frac{s_{xy}}{s_x s_y} \).

Hypothesis of interest is usually \( H_0 : \rho = 0 \) versus \( H_1 : \rho \neq 0 \) and we use the test statistic \( r \sqrt{\frac{n-2}{1-r^2}} \sim t_{n-2} \).

We can also use Fisher’s Transformation \( (F(r) = \frac{1}{2} \ln(\frac{1+r}{1-r})) \) to test if \( \rho \) equals any value including 0 and to construct confidence intervals for \( \rho \).

Neither method is overly sensitive to the normality assumption.

While \(-1 \leq r \leq 1, -\infty < F(r) < \infty\).

Spearman’s rank correlation coefficient

Is a rank version of Pearson’s correlation computed by converting the data for each variable into a rank before computing the correlation. It uses same test statistics as Pearson’s correlation.

Is closely related to the Pearson’s correlation coefficient except the relationship need not be linear in nature.

Is robust to outliers.

If the relationship is linear without any extreme points, Spearman’s and Pearson’s will be similar.
Two prediction scenarios

The prediction line

Determining the prediction line

Minimize the error (residuals), the vertical distance between the observed values and the predicted line.

Minimize the sum of the squared errors, method called Least Squares.

Two parameters determine the line: slope and intercept.

Fitted values, residuals and mean squared error

We use the estimated slope and intercept with each $x_i$ to compute a fitted value for $y_i$:

$$\hat{y}_i = b_0 + b_1 x_i$$

We compute the residual by taking the difference between the observed data and the fitted value:

$$\hat{\varepsilon}_i = y_i - \hat{y}_i$$

We estimate the variance of the residuals:

$$\hat{\sigma}_\varepsilon^2 = s_\varepsilon^2 = \frac{\sum \hat{\varepsilon}_i^2}{n - 2}$$

Note: The average of the residuals is 0. We use $n - 2$ because we estimated 2 parameters ($b_0$ and $b_1$).
Least Squares estimates for the slope and intercept

The slope is primarily determined by the correlation between $Y$ and $X$. It’s magnitude is limited by the ratio of the standard deviation of $Y$ and $X$.

slope: $b_1 = \frac{r_{xy}}{s_x} = \frac{s_y}{s_x}$

We estimate the intercept by picking a point on the line then using our estimate of the slope, solve for the intercept. $\{\bar{x}, \bar{y}\}$ is always on the line.

intercept: $b_0 = \bar{y} - b_1 \bar{x}$

With some math it can be shown that both $b_0$ and $b_1$ can be computed as a weighted average of $Y$. This means both will follow a normal distribution if $n$ is large enough by the central limit theorem of statistics (CLT).

### Example revisited

Summary statistics from the data

<table>
<thead>
<tr>
<th>Mean</th>
<th>SD</th>
<th>Correlation</th>
</tr>
</thead>
<tbody>
<tr>
<td>mpg</td>
<td>20.091</td>
<td>6.027</td>
</tr>
<tr>
<td>wt</td>
<td>3.217</td>
<td>0.978</td>
</tr>
</tbody>
</table>

Regression coefficients predicting mpg by weight

<table>
<thead>
<tr>
<th>(Intercept)</th>
<th>wt</th>
</tr>
</thead>
<tbody>
<tr>
<td>37.29</td>
<td>-5.34</td>
</tr>
</tbody>
</table>

$-0.868 \times \frac{6.027}{0.978} = -5.344$

$20.091 - (-5.344 \times 3.217) = 37.285$

Epared line to predict mpg from weight

| Estimate | Std. Error | t value | Pr(>|t|) |
|----------|------------|---------|----------|
| (Intercept) | 37.29      | 1.878   | 19.86    | 8.24e-19 |
| wt       | -5.34      | 0.559   | -9.56    | 1.29e-10 |

R squared = 0.753

Epared line to predict weight from mpg

| Estimate | Std. Error | t value | Pr(>|t|) |
|----------|------------|---------|----------|
| (Intercept) | 6.047      | 0.3087  | 19.59    | 1.20e-18 |
| mpg      | -0.141     | 0.0147  | -9.56    | 1.29e-10 |

R squared = 0.753

Compare the 3 results

<table>
<thead>
<tr>
<th>Estimate</th>
<th>t value</th>
<th>p value</th>
</tr>
</thead>
<tbody>
<tr>
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<td>-0.868</td>
<td>-9.56</td>
</tr>
</tbody>
</table>

| Estimate | Std. Error | t value | Pr(>|t|) |
|----------|------------|---------|----------|
| wt       | -5.34      | 0.559   | -9.56    | 1.29e-10 |

| Estimate | Std. Error | t value | Pr(>|t|) |
|----------|------------|---------|----------|
| mpg      | -0.141     | 0.0147  | -9.56    | 1.29e-10 |

All three have the same t value and p value
Fitted values and residuals

\[ \hat{y}_i = b_0 + b_1 x_i \]
\[ Fit_i = 37.29 + (-5.34 wt_i) \]
\[ \hat{\varepsilon}_i = y_i - \hat{y}_i \]
\[ Res_i = wt_i - Fit_i \]

<table>
<thead>
<tr>
<th>wt</th>
<th>mpg</th>
<th>Fit</th>
<th>Res</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mazda RX4</td>
<td>2.62</td>
<td>21.0</td>
<td>23.3</td>
</tr>
<tr>
<td>Mazda RX4 Wag</td>
<td>2.88</td>
<td>21.0</td>
<td>21.9</td>
</tr>
<tr>
<td>Datsun 710</td>
<td>2.32</td>
<td>22.8</td>
<td>24.9</td>
</tr>
<tr>
<td>Hornet 4 Drive</td>
<td>3.21</td>
<td>21.5</td>
<td>20.1</td>
</tr>
<tr>
<td>Hornet Sportabout</td>
<td>3.44</td>
<td>18.7</td>
<td>18.9</td>
</tr>
</tbody>
</table>

\[ MSE = 9.277 \]

The coefficient of determination

Better prediction with regression line compared to red mean line?

- Variation line doesn’t explain (sum square of errors to line) = 278
- Total variation in y (sum square of errors to \( \bar{y} \)) = 1126

\[ \% \text{ variation NOT explained by the line} = \frac{278}{1126} = 0.247 \]
\[ \% \text{ variation explained by the line} = 1 - 0.247 = 0.753 \]

The error of the fitted value

- Decreases as \( x_i \) moves closer to \( \bar{x} \)
  - fitted values at the endpoints depend greatly on the slope of the line
  - fitted values at the middle are relatively insensitive to the slope
- Decreases as the variance in \( x \) increases
  - since the slope is determined by the endpoints

The distribution of the fitted value can be approximated by a normal distribution because of the CLT. This means we can compute accurate confidence bounds for the fitted line.
There is a 95% chance that the interval at a given $x_i$ covers the true mean for $y_i$.

**95% Confidence Interval (green) for the fitted line**

**95% Prediction Interval (blue dashed)**

**Prediction Interval**

The prediction estimate is the same as the fitted value

The uncertainty (variability) in the prediction includes the uncertainty in the fitted line (model) plus the variability in the $y$ data

**Simple Linear Regression**

A line that summarizes the relationship between two quantitative variables and is used to make predictions

Regression assumes that $Y$ is random but $X$ is not

Model the mean of $Y$ as a function of $X$

$$\mu_{y_i} = \beta_0 + \beta_1 x_i$$

Each observation is described as being some distance (error $\varepsilon_i$) from the estimated mean where $\varepsilon_i \sim N(0, \sigma^2)$

Assumptions:

- normality is not critical
- homoscedasticity (constant variance) is important
- independent errors is critical
Diagnostics for Regression

Before fitting the model plot $y_i$ versus $x_i$

- Does the spread of $Y$ depend on $X$?
- What does the relationship look like?
- Are there any extreme $X$ or $Y$ values?

Plot the residuals versus the quantiles of a normal distribution

Plot residuals ($e_i$) versus fitted values ($\hat{y}_i$). You should see an uncorrelated oval of data.

If the data can be ordered over time or space, check the residuals for indications of serial correlation

Examine the influence of each observation using Cook’s distance

Example Revisited

Heteroscedasticity

Serial Correlation
Effect of Outliers or high leverage points

Regression with 2 predictors

1974 Motor Trends car data (32 different models of cars)

Regression predicting mpg by hp and weight (wt)

Summary statistics from the data

<table>
<thead>
<tr>
<th></th>
<th>Mean</th>
<th>Variance</th>
<th>SD</th>
</tr>
</thead>
<tbody>
<tr>
<td>mpg</td>
<td>20.091</td>
<td>36.324</td>
<td>6.03</td>
</tr>
<tr>
<td>hp</td>
<td>146.688</td>
<td>4700.867</td>
<td>68.56</td>
</tr>
<tr>
<td>wt</td>
<td>3.217</td>
<td>0.957</td>
<td>0.98</td>
</tr>
</tbody>
</table>

## correlations between the variables

<table>
<thead>
<tr>
<th></th>
<th>mpg</th>
<th>hp</th>
<th>wt</th>
</tr>
</thead>
<tbody>
<tr>
<td>mpg</td>
<td>1.000</td>
<td>-0.776</td>
<td>-0.868</td>
</tr>
<tr>
<td>hp</td>
<td>-0.776</td>
<td>1.000</td>
<td>0.659</td>
</tr>
<tr>
<td>wt</td>
<td>-0.868</td>
<td>0.659</td>
<td>1.000</td>
</tr>
</tbody>
</table>

Regression predicting mpg by hp and weight (wt)

- **Estimate Std. Error t value Pr(>|t|)**
  - (Intercept) 37.2273 1.59879 23.28 2.57e-20
  - wt -3.8778 0.63273 -6.13 1.12e-06
  - hp -0.0318 0.00903 -3.52 1.45e-03

- **R squared = 0.827**

- **MSE = 6.726**
Multiple Linear Regression (2 predictors)

The assumptions are the same but the equation (model) contains more parameters:

- $\mu_Y = \beta_0 + \beta_1 X_1 + \beta_2 X_2$
- computing the estimates of the coefficients is more complicated but can be easily expressed in matrix form (not presented here)

The key concern is how much correlation exists between $X_1$ and $X_2$ since severe multicollinearity can increase the variance of the coefficient estimates

- can complicate or prevent the identification of an optimal set of explanatory variables for a statistical model
- assess using the variance inflation factor (VIF), $1/(1 - \rho_{X_1,X_2}^2)$
- if $\text{VIF} \geq 5$, coefficients are poorly estimated and one should be wary of their p-values
- doesn’t affect how well the model fits, a model with severe multicollinearity can produce great predictions

Example 1 Random data $Y, X_1, X_2$

True model: $Y = X_1$ with $\rho_{X_1,X_2} = 0$

```
## Estimate Std. Error
## X1 1.005275 0.03165875
## X2 -0.007381078 0.03193062
## Estimate Std. Error
## X1 1.005911683 0.03649992
## X2 -0.001682867 0.03661223
```

SE multiplier = 1.0

Example 2 Random data $Y, X_1, X_2$

True model: $Y = X_1$ with $\rho_{X_1,X_2} = 0.5$

```
## Estimate Std. Error
## X1 1.005082 0.03172337
## X2 0.4973381 0.03194161
## Estimate Std. Error
## X1 1.005911683 0.03649992
## X2 -0.001682867 0.03661223
```

SE multiplier = $0.0365/0.0317 = 1.15$
Example 3 Random data $Y, X_1, X_2$

True model: $Y = X_1$ with $\rho_{x_1,x_2} = 0.9$

```
## Estimate Std. Error
## X1  1.004437  0.03179358
## X2  0.9027353 0.03187386
```

SE multiplier $= 0.0725/0.0318 = 2.28$

---

Example 4 Random Data $Y, X_1, X_2$

True model: $Y = X_1/2 + X_2/2$ with $\rho_{x_1,x_2} = 0$

```
## Estimate Std. Error
## X1  0.901369666 0.07246802
## X2  0.4962426 0.07258049
```

---

Example 5 Random data $Y, X_1, X_2$

True model: $Y = X_1/2 + X_2/2$ with $\rho_{x_1,x_2} = 0.5$

```
## Estimate Std. Error
## X1  0.7516066 0.03175274
## X2  0.749294 0.03185154
```

---

Example 6 Random Data $Y, X_1, X_2$

True model: $Y = X_1/2 + X_2/2$ with $\rho_{x_1,x_2} = 0.9$

```
## Estimate Std. Error
## X1  0.9530507 0.03180093
## X2  0.9527279 0.03185082
```
Dealing with multicollinearity

Possible solutions:
- Standardize the predictors (subtract the mean)
- Remove highly correlated predictors
- Linearly combine predictors (add them together)
- Do nothing if the p-values aren’t important

If you can live with less precise coefficient estimates, or a model that has a high R-squared but few significant predictors, doing nothing can be the correct decision because it won’t impact the fit.

What makes a regression linear?

Linear regression refers to the coefficients in the model. It has nothing to do with the way $X$ is included in the model or the relationship between $X$ and $Y$. It is the form of $\beta$:

Linear regression models:
- $\mu_y = \beta_0 + \beta_1 X + \beta_2 X^2$
- $\mu_y = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \beta_3 X_1 X_2 + \beta_4 X_1^2 + \beta_5 X_2^2$

Not a linear regression model because of $\beta_1^2$:
- $\mu_y = \beta_0 + \beta_1 X_1 + \beta_2^2 X_2$

This is a (linear) Regression

$\mu_y = 2 + \frac{x}{2} + \frac{x^2}{5}$

The observed versus the model fit
Regression with \( k \) predictors

Like the two predictor case, we can compute all the parameter estimates easily using matrix algebra. The model includes more terms but otherwise the issues are similar to the two predictor case.

We need to look at the correlation matrix of all the predictors to determine if collinearity is a problem. While a predictor may not be highly correlated to any one other predictor, it may be highly correlated with a set of other predictors.

If some variables are excluded, all the other predictors in the model will cooperate to act as surrogates for the excluded variables depending on the strength of the correlation between the covariates.

If more than two predictors are included in the model then the VIF is unique for each predictor and is computed by regressing each predictor on the other predictors in the model and looking at the coefficient of determination.

ANOVA as a regression model (categorical predictors)

In order to fit an ANOVA model as a regression model, the \( p \) level factor variable is converted into \( p - 1 \) indicator variables.

Example: The 3 level cylinder predictor is converted into 2 indicator variables, one for 6 cylinders and one for 8 cylinders.

### Example

```
# mpg cyl
# Mazda RX4  21.0  6
# Mazda RX4 Wag 21.0  6
# Datsun 710  22.8  4
# Hornet 4 Drive 21.4  6
# Hornet Sportabout 18.7  8

# mpg cyl6 cyl8
# Mazda RX4  21.0  1  0
# Mazda RX4 Wag 21.0  1  0
# Datsun 710  22.8  0  0
# Hornet 4 Drive 21.4  1  0
# Hornet Sportabout 18.7  0  1
```

### Example

```
## mpg cyl
## Mazda RX4 21.0 6
## Mazda RX4 Wag 21.0 6
## Datsun 710 22.8 4
## Hornet 4 Drive 21.4 6
## Hornet Sportabout 18.7 8

## cyl N Mean SD
## 1 4 11 26.66 4.510
## 2 6 7 19.74 1.454
## 3 8 14 15.10 2.560

## Estimate Std. Error t value Pr(>|t|)
## (Intercept) 26.66 0.972 27.44 2.69e-22
## cyl6 -6.92 1.558 -4.44 1.19e-04
## cyl8 -11.56 1.299 -8.90 8.57e-10
```
What about the constant variance assumption?

**Residuals vs Fitted**

**Normal Q-Q**

**Cook's distance**

**ANCOVA continuous and categorical predictor**

This is the common names for a model that contains both categorical and continuous predictors. We include categorical predictors by converting them into indicator variables then proceed with a multiple regression. Only difference is this time the model also includes a continuous predictor $X$.

**Example: Treatment versus placebo with a covariate**

$$\mu_Y = \beta_0 + \beta_1 I(\text{trt}) + \beta_2 X$$

- $\beta_0$ = intercept for the placebo group
- $\beta_1$ = change in intercept from placebo to treatment
- $\beta_2$ = common slope for the covariate

This model fits parallel lines

$$\mu_y = 5 + 5I(\text{trt}) + X$$

**Regression estimates**

```
  Estimate    Std. Error
Placebo  4.826374    0.9359718
TRT-PLB  1.179350    1.3236640

  Estimate    Std. Error
Intercept  7.0034277   0.41183350
Slope     0.7787446    0.08507816

  Estimate    Std. Error
Placebo  5.1247779    0.2127760
TRT-PLB  4.6019328    0.3271369
Slope     0.9859269    0.0372547
```
Model with different slopes

The slopes of our continuous predictor need not be the same for each level of our categorical predictor. We can model this with an interaction term.

\[ \mu_Y = \beta_0 + \beta_1 I(trt) + \beta_2 X + \beta_3 X I(trt) \]

- \( b_0 \) = intercept for the placebo group
- \( b_1 \) = change in intercept from placebo to treatment
- \( b_2 \) = slope for the placebo group
- \( b_3 \) = change in slope from placebo to treatment

Lines are no longer parallel meaning the difference between the groups changes with the value of \( X \)

### Regression estimates

<table>
<thead>
<tr>
<th>Estimate</th>
<th>Std. Error</th>
</tr>
</thead>
<tbody>
<tr>
<td>Intercept</td>
<td>6.691252</td>
</tr>
<tr>
<td>Slope</td>
<td>1.088475</td>
</tr>
</tbody>
</table>

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<tbody>
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<td>Slope</td>
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### Sample Size and Power calculations

\( \alpha \) (or Significance) is the chance of accepting what is false. It does not depend on \( n \), the sample size, but is chosen. Want it to be small and is commonly set at 0.05.

\( 1 - \beta \) (or Power) is the chance of accepting what is true. It depends on \( n \) and increases as \( n \) increases. To calculate Power, you need to specify each parameter in the model (based on previous studies, educated guesses or some other source). The more complicated the model the more parameters you need to specify.

Java Applets to calculate sample size and power

http://homepage.stat.uiowa.edu/~rlenth/Power/

G*Power for Mac or Windows

http://www.gpower.hhu.de/en.html
Questions?

Department of Statistics at UBC:

www.stat.ubc.ca/how-can-you-get-help-your-data

SOS Program - An hour of free consulting to UBC graduate students. Funded by the Provost and VP Research Office.

STAT 551 - Stat grad students taking this course offer free statistical advice. Fall semester every academic year.

Short Term Consulting Service - Advice from Stat grad students. Fee-for-service on small projects (less than 15 hours).

Hourly Projects - ASDa professional staff. Fee-for-service consulting.